

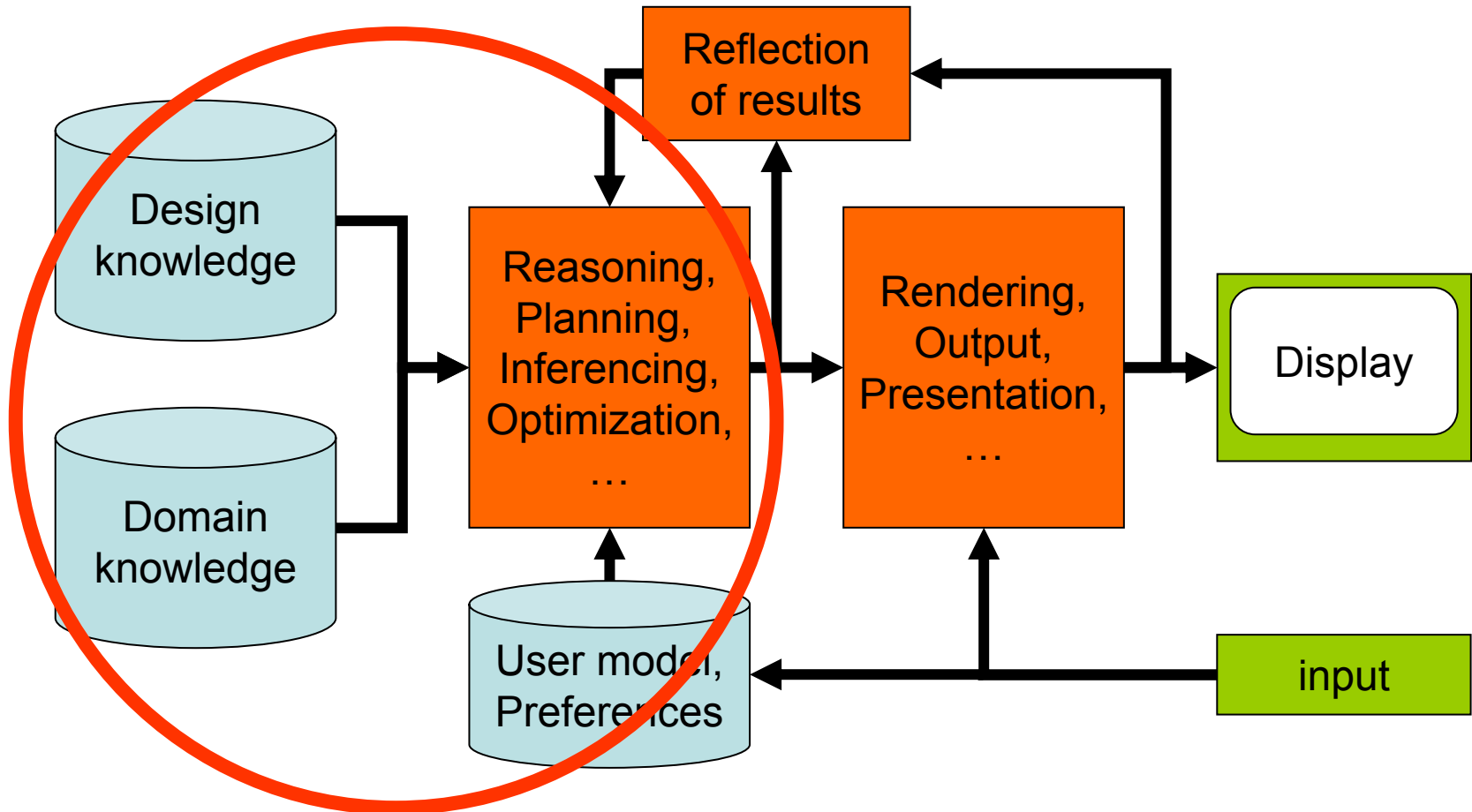
# **Smart Graphics: Methoden 3**

## **Suche, Constraints**

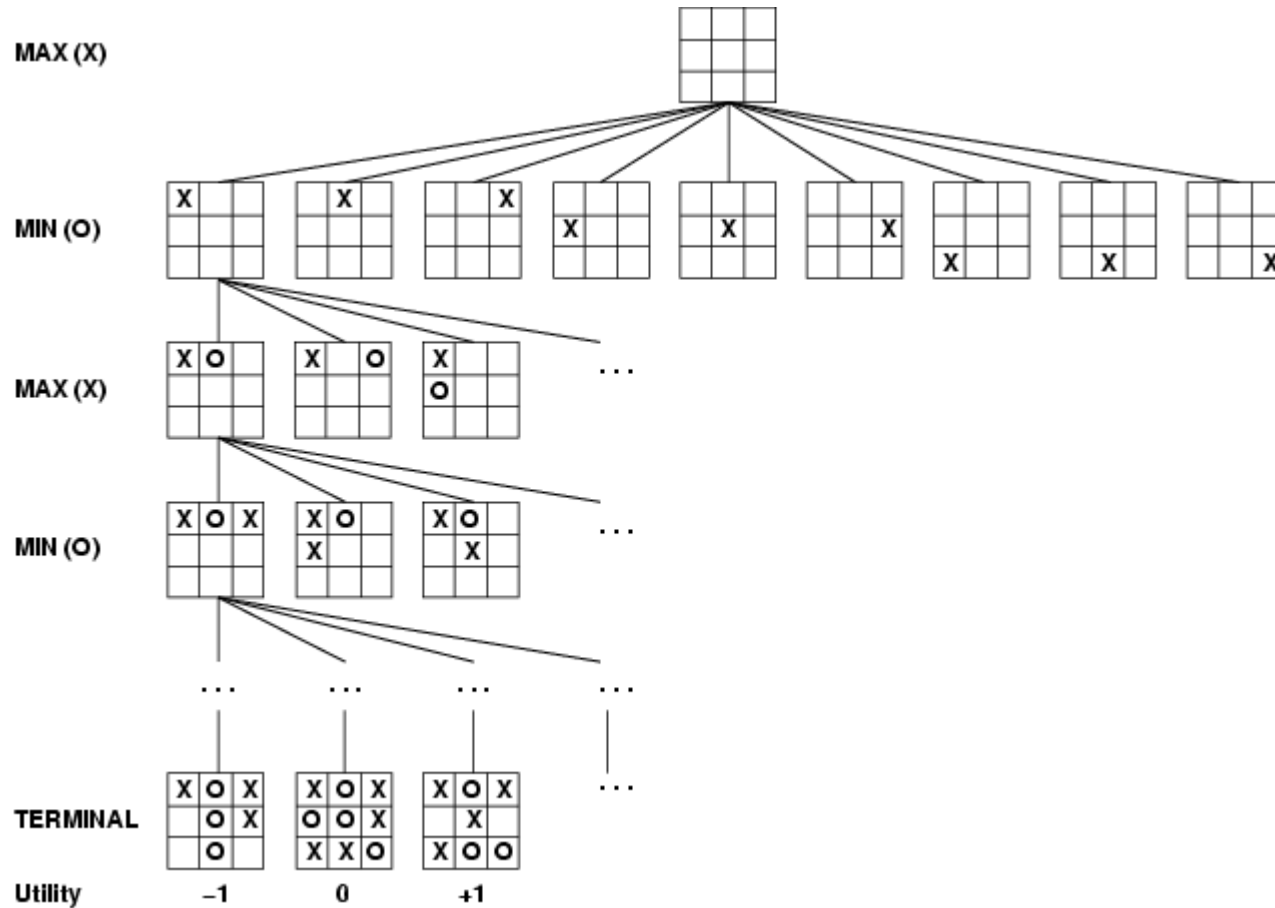
Vorlesung „Smart Graphics“  
Andreas Butz, Otmar Hilliges  
Mittwoch, 30. November 2005

# Themen heute

- Suchverfahren
  - Alpha-Beta
  - Hillclimbing
  - Simulated Annealing
  - Genetische Suche
- Constraints

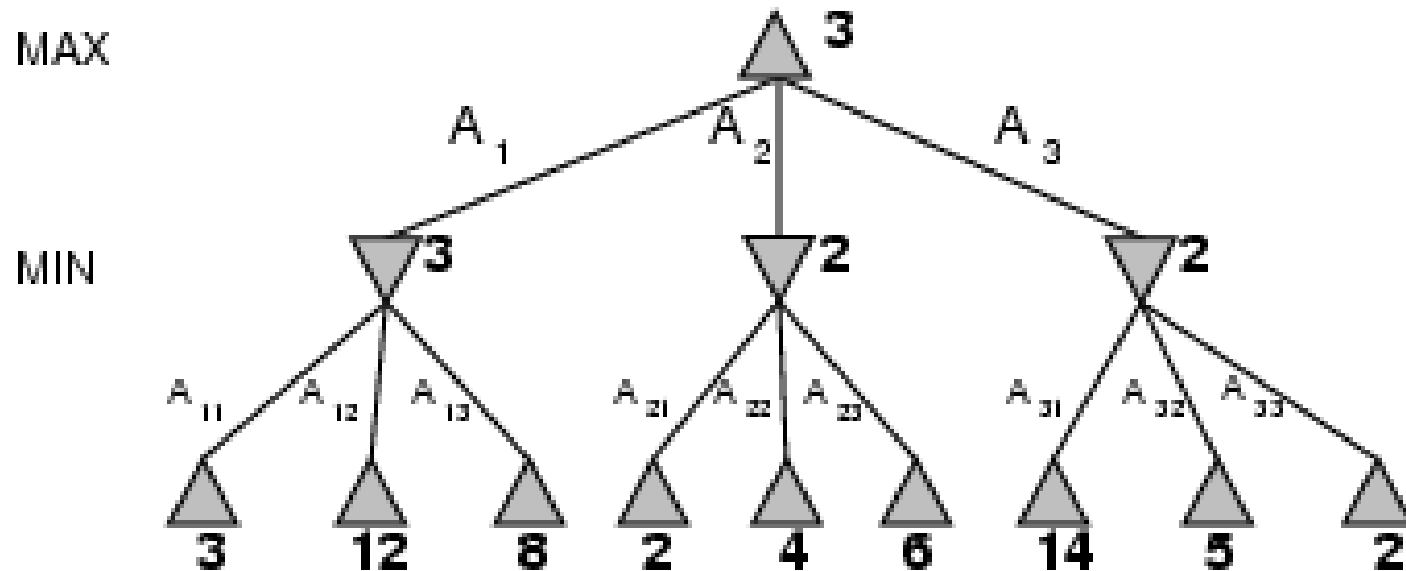


# Game tree (2-player, deterministic, turns)



# Minimax

- Perfect play for deterministic games.
- Idea: choose move to position with highest **minimax value** = best achievable payoff against best play.
- E.g., 2-ply game:



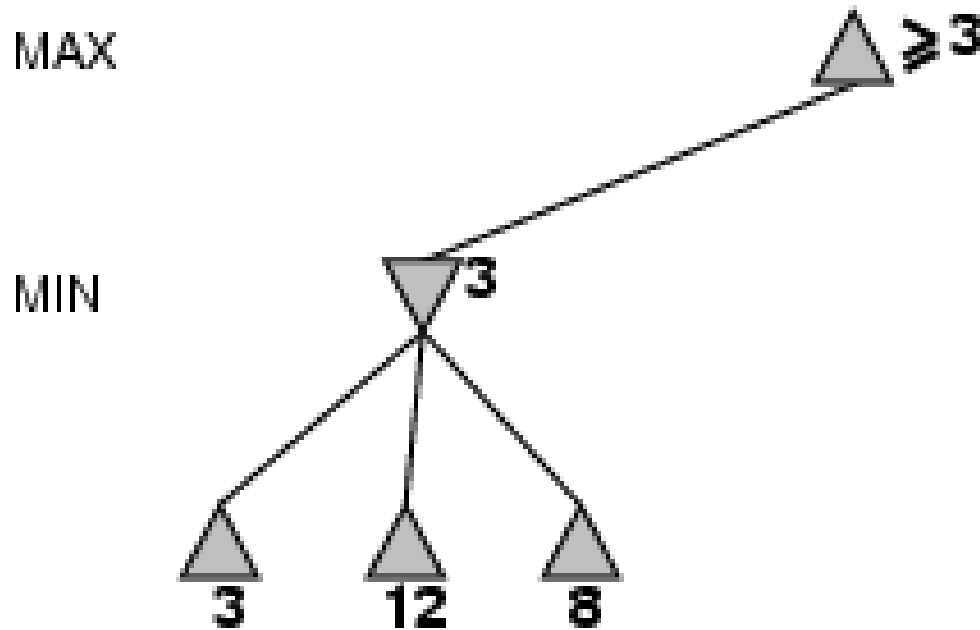
# Minimax algorithm

```
Hauptprogramm (Auszug):
  var doNext : number
  dummy := maxWert ( gewünschte suchTiefe )
  Zug doNext ausführen
function maxWert ( restTiefe ) returns number
var ermittelt, zugWert : number
begin
  ermittelt := - unendlich
  für alle möglichen Züge begin
    Zug simulieren
    if restTiefe <= 1 or keineFolgezügeMehrMöglich
    then zugWert := bewertungsFunktion
    else zugWert := minWert ( restTiefe - 1 )
    Zug-Simulation zurücksetzen
    if zugWert > ermittelt then begin
      ermittelt := zugWert
      doNext := nummer des Zuges /* für das Hauptprogramm */
    end
  end
  return ermittelt
end maxWert
function minWert ( restTiefe ) returns number
var ermittelt, zugWert : number
begin
  ermittelt := + unendlich
  für alle möglichen Züge begin
    Zug simulieren
    if restTiefe <= 1 or keineFolgezügeMehrMöglich
    then zugWert := bewertungsFunktion
    else zugWert := maxWert ( restTiefe - 1 )
    Zug-Simulation zurücksetzen
    if zugWert < ermittelt then ermittelt := zugWert
  end
  return ermittelt
end minWert
```

# Properties of minimax

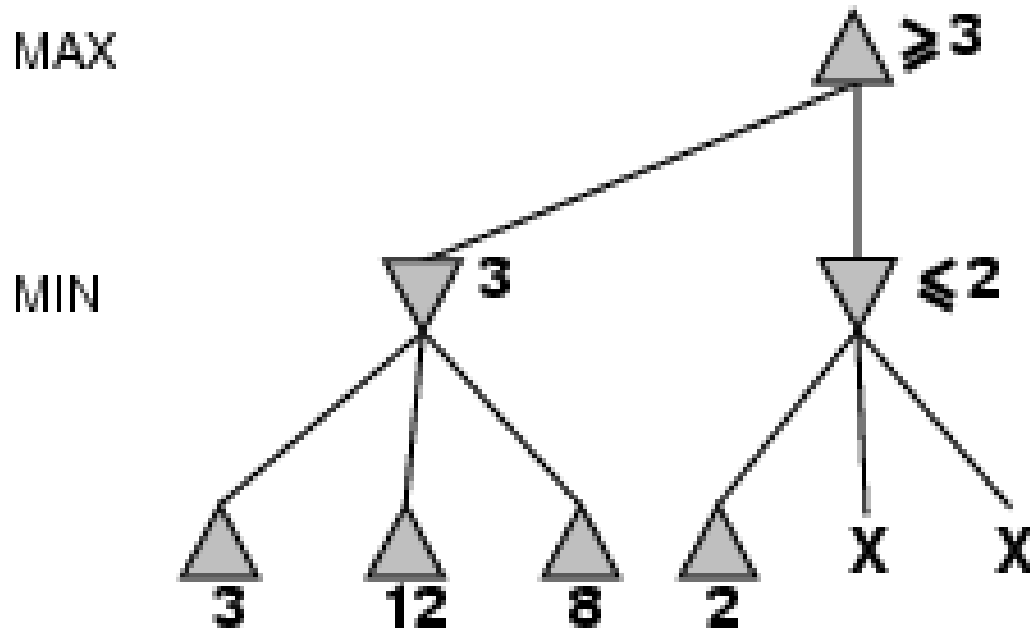
- Complete? Yes (if tree is finite).
- Optimal? Yes (against an optimal opponent).
- Time complexity?  $O(b^m)$ .
- Space complexity?  $O(bm)$  (depth-first exploration).
  
- For chess,  $b \approx 35$ ,  $m \approx 100$  for "reasonable" games  
→ exact solution completely infeasible.

# $\alpha$ - $\beta$ pruning example

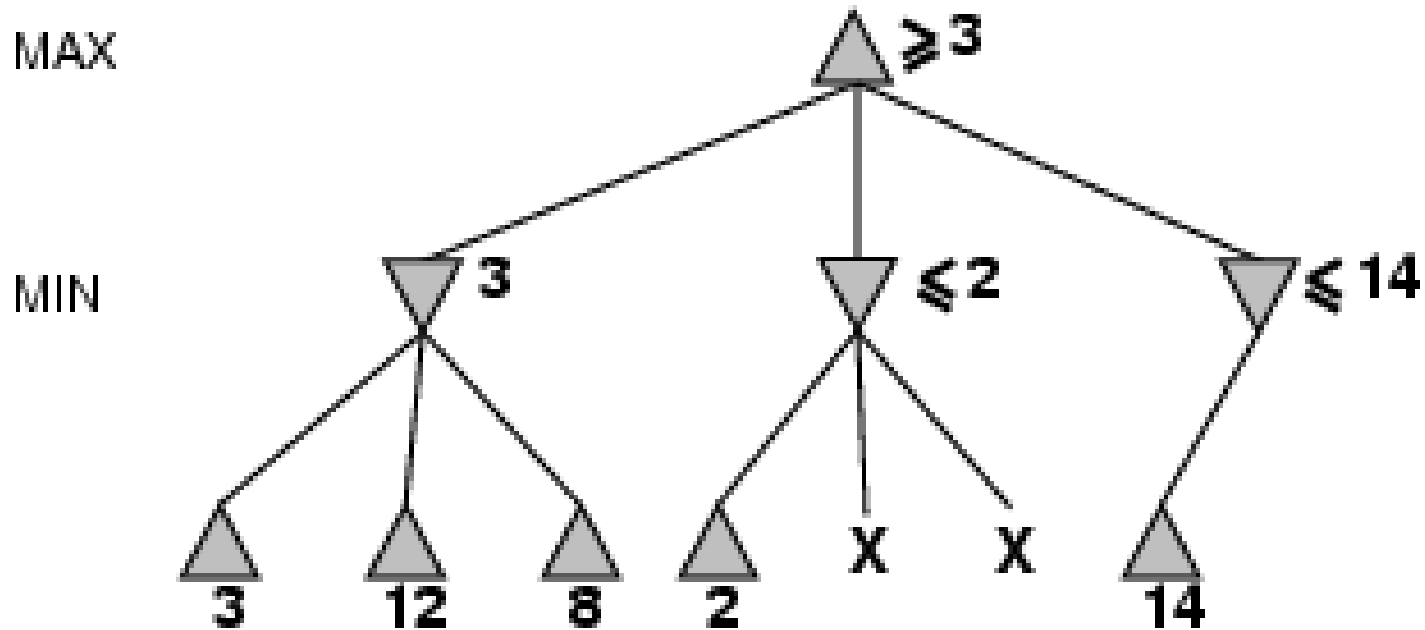




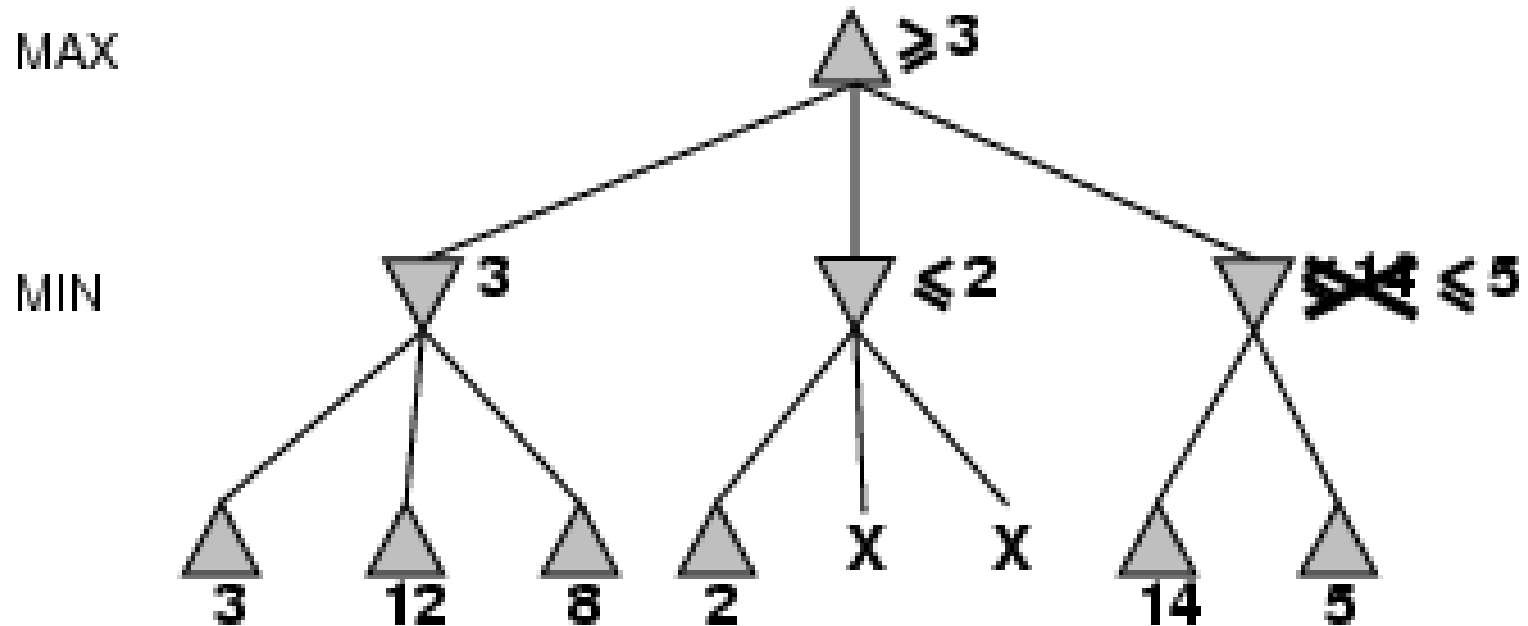
# $\alpha$ - $\beta$ pruning example



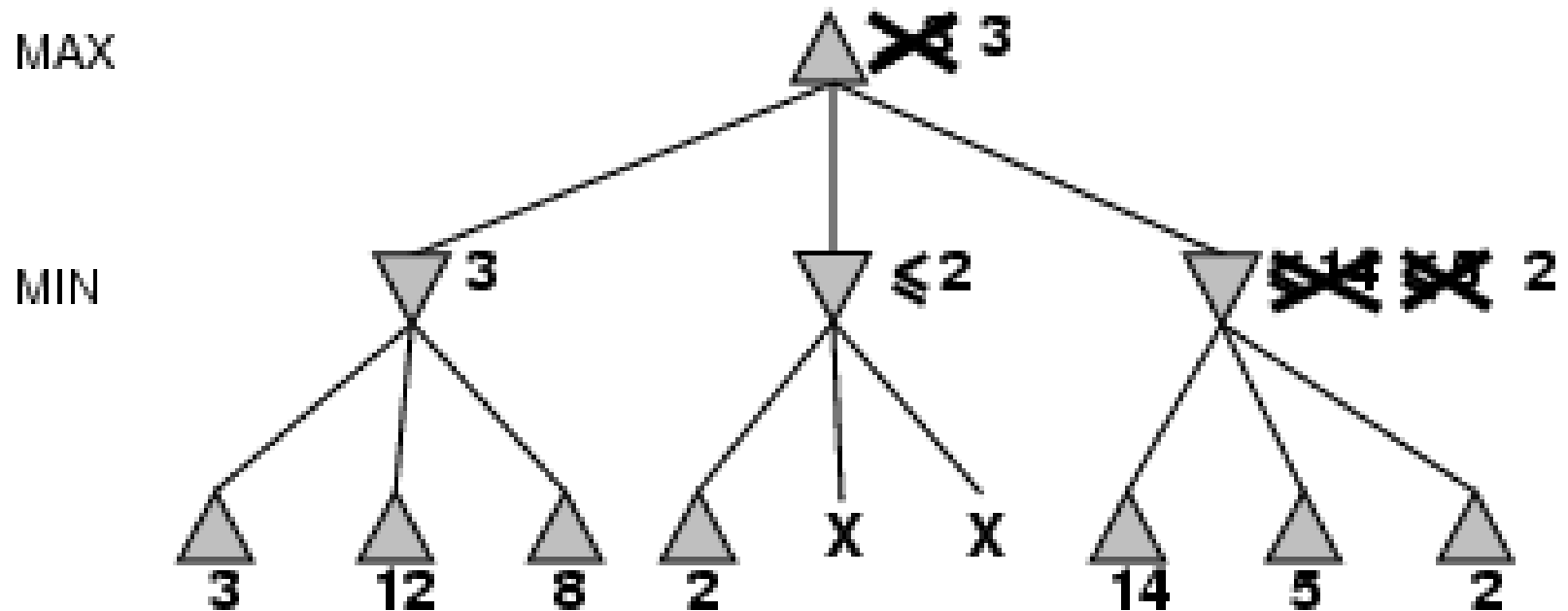
# $\alpha$ - $\beta$ pruning example



# $\alpha$ - $\beta$ pruning example



# $\alpha$ - $\beta$ pruning example

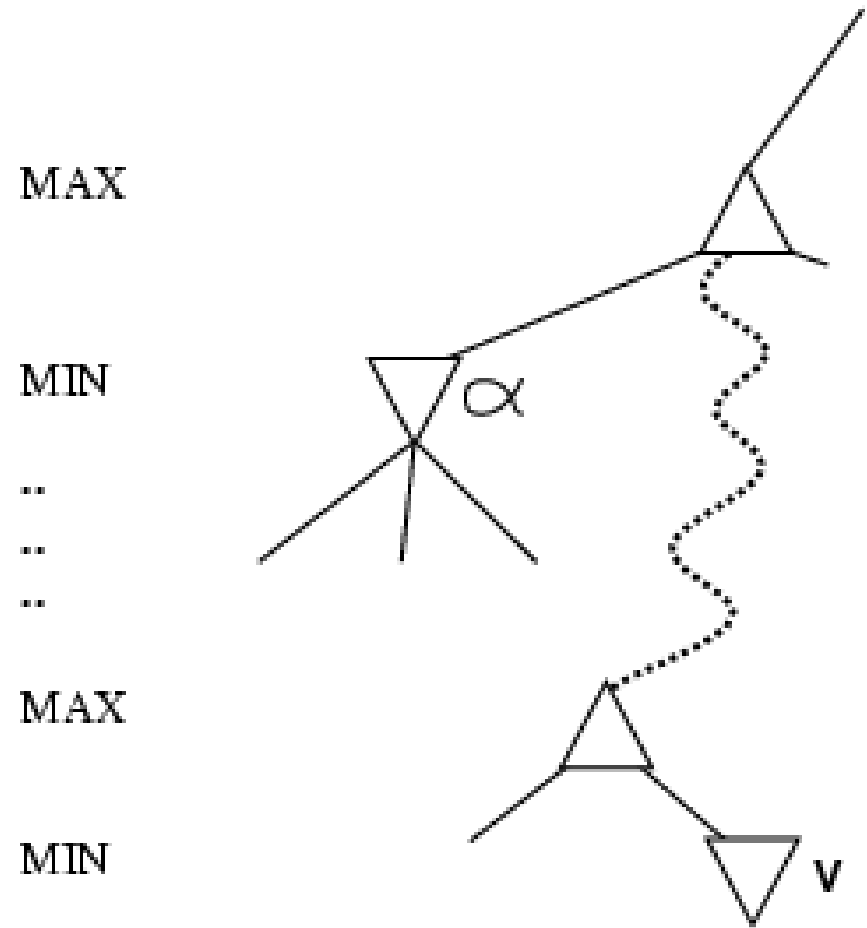


# Properties of $\alpha$ - $\beta$

- Pruning **does not** affect final result.
- Good move ordering improves effectiveness of pruning.
- With "perfect ordering," time complexity =  $O(b^{m/2})$   
→ **doubles** depth of search.
- A simple example of the value of reasoning about which computations are relevant (a form of **metareasoning**).

# Why is it called $\alpha$ - $\beta$ ?

- $\alpha$  is the value of the best (i.e., highest-value) choice found so far at any choice point along the path for *max*.
- If  $v$  is worse than  $\alpha$ , *max* will avoid it.
  - prune that branch.
- Define  $\beta$  similarly for *min*.



# The $\alpha$ - $\beta$ algorithm

```
int Max(int tiefe, int alpha, int beta) {
    if (tiefe == 0) return Bewerten();
    GeneriereMoeglicheZuege();
    while (ZuegeUebrig()) {
        FuehreNaechstenZugAus();
        wert = Min(tiefe-1, alpha, beta);
        MacheZugRueckgaengig();
        if (wert >= beta) return wert;
        if (wert > alpha) alpha = wert;
    }
    return alpha;
}
```

# The $\alpha$ - $\beta$ algorithm

```
int Min(int tiefe, int alpha, int beta) {  
    if (tiefe == 0) return Bewerten();  
    GeneriereMoeglicheZuege();  
    while (ZuegeUebrig()) {  
        FuehreNaechstenZugAus();  
        wert = Max(tiefe-1, alpha, beta);  
        MacheZugRueckgaengig();  
        if (wert <= alpha) return wert;  
        if (wert < beta) beta = wert;  
    }  
    return beta;  
}
```



# Zusammenfassung

**Ausgangspunkt:** Bei der Tiefensuche schon *während der Expansion die Bewertungsfunktion auf Blätter anwenden* und Werte nach Minimax-Verfahren nach oben verteilen.

- *Alpha-Wert eines Max-Knotens* ist die jeweils größte geerbte Bewertung seiner Nachfolger. Der Wert den Spieler Max mindestens erhält.
- *Beta-Wert eines Min-Knotens* ist der jeweils kleinste geerbte Wert seiner Nachfolger. Der Wert den Spieler Min maximal erhält.
- 2 Typen von Beschneidungen des Suchbaums:
  - *Alpha-Schnitt:* Suche wird abgebrochen an einem *Min-Knoten*, dessen *Beta-Wert > Alpha-Wert* von irgendeinem seiner *Max-Vorgänger*. Der Beta-Wert bleibt der vererbte Wert des Min-Knotens, der gemäß Minimax weiterverarbeitet wird.
  - *Beta-Schnitt:* Suche wird abgebrochen an einem *Max-Knoten*, dessen *Alpha-Wert < Beta-Wert* von irgendeinem seiner *Min-Vorgänger*. Der Alpha-Wert bleibt der vererbte Wert des Max-Knotens.

# Cutting off search

*MinimaxCutoff* is identical to *MinimaxValue* except

1. *Terminal* is replaced by *Cutoff*
2. *Utility* is replaced by *Eval*.

Does it work in practice?.

$$b^m = 10^6, b=35 \rightarrow m=4.$$

4-ply lookahead is a hopeless chess player!

- 4-ply  $\approx$  human novice.
- 8-ply  $\approx$  typical PC, human master.
- 12-ply  $\approx$  Deep Blue, Kasparov.

# Deterministic games in practice

- Checkers:
  - Chinook ended 40-year-reign of human world champion Marion Tinsley in 1994. Used a pre-computed endgame database defining perfect play for all positions involving 8 or fewer pieces on the board, a total of 444 billion positions.

# Deterministic games in practice

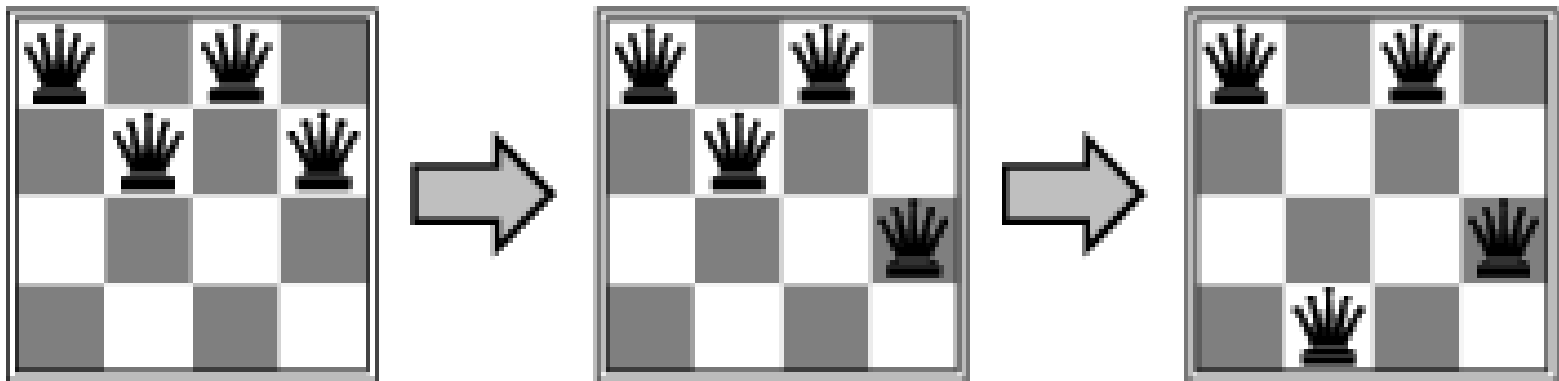
- Chess:
  - Deep Blue defeated human world champion Garry Kasparov in a six-game match in 1997. Deep Blue searches 200 million positions per second, uses very sophisticated evaluation, and undisclosed methods for extending some lines of search up to 40 ply.
  - Deep Fritz achieved remis twice against Kasparov and Kramnik in 2002/03
  - Hydra defeated Adams (ranked No. 7) in 2005 with 1:5
  - Strategy vs. Nr. of computed positions.
- Go:
  - human champions refuse to compete against computers, who are too bad. In go,  $b > 300$ , so most programs use pattern knowledge bases to suggest plausible moves.

# Local search algorithms

- In many optimization problems, the **path** to the goal is irrelevant; the goal state itself is the solution.
- State space = set of "complete" configurations.
- Find configuration satisfying constraints, e.g., n-queens.
- In such cases, we can use **local search algorithms**.
- keep a single "current" state, try to improve it.

# Example: $n$ -queens

- Put  $n$  queens on an  $n \times n$  board with no two queens on the same row, column, or diagonal



# Hill-climbing search

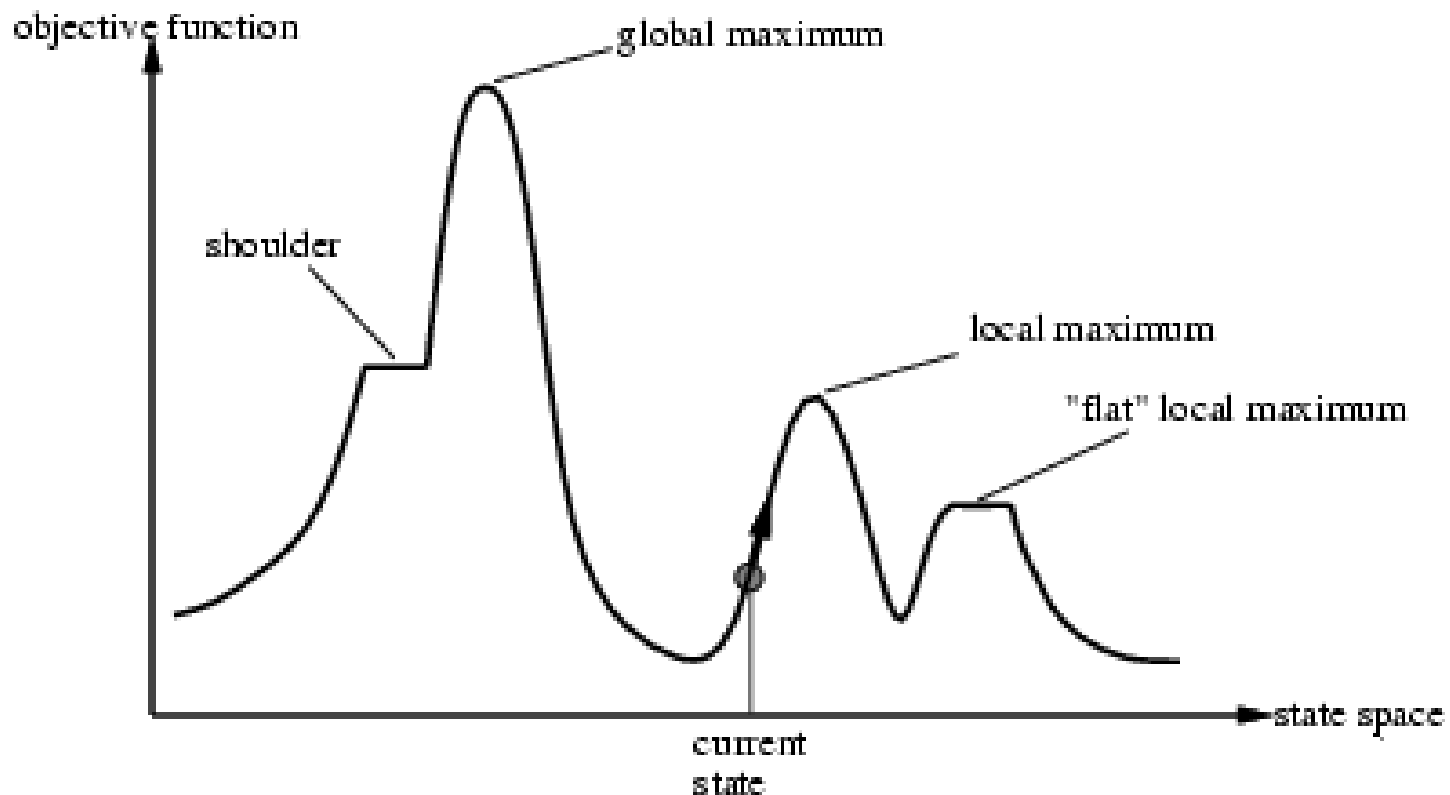
- "Like climbing Everest in thick fog with amnesia"

```
function HILL-CLIMBING(problem) returns a state that is a local maximum
  inputs: problem, a problem
  local variables: current, a node
                  neighbor, a node

  current ← MAKE-NODE(INITIAL-STATE[problem])
  loop do
    neighbor ← a highest-valued successor of current
    if VALUE[neighbor] ≤ VALUE[current] then return STATE[current]
    current ← neighbor
```

# Hill-climbing search

- Problem: depending on initial state, can get stuck in local maxima



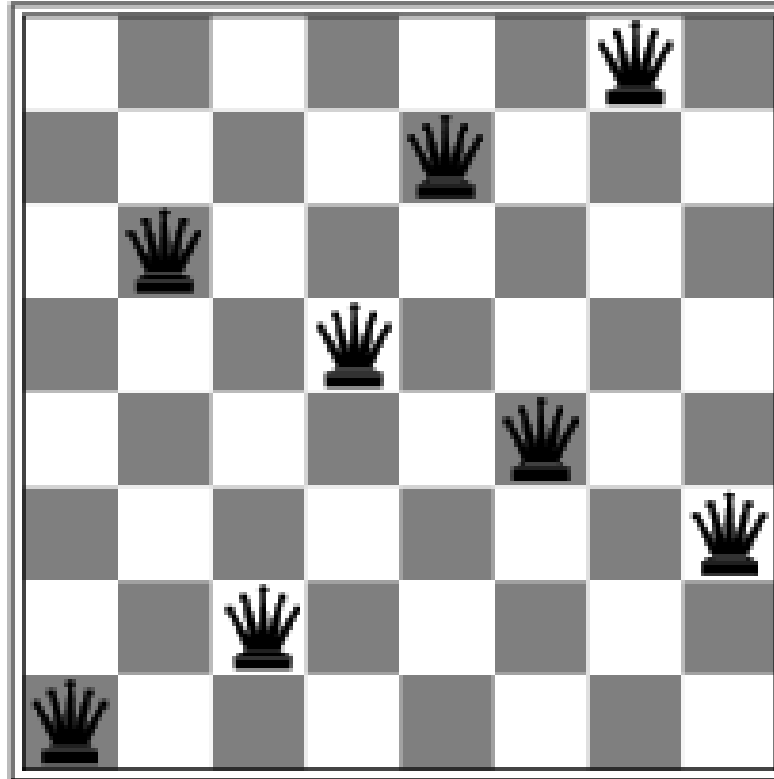


# Hill-climbing search: 8-queens problem

18	12	14	13	13	12	14	14
14	16	13	15	12	14	12	16
14	12	18	13	15	12	14	14
15	14	14	♚	13	16	13	16
♚	14	17	15	♚	14	16	16
17	♚	16	18	15	♚	15	♚
18	14	♚	15	15	14	♚	16
14	14	13	17	12	14	12	18

- $h$  = number of pairs of queens that are attacking each other, either directly or indirectly
- $h = 17$  for the above state

# Hill-climbing search: 8-queens problem



- A local minimum with  $h = 1$

# Simulated annealing search

- Idea: escape local maxima by allowing some "bad" moves but **gradually decrease** their frequency
- The algorithm employs a random search which not only accepts changes that decrease objective function  $f$ , but also some changes that increase it. The latter are accepted with a probability  $p = \exp\left(-\frac{\delta f}{T}\right)$

# Properties of simulated annealing search

- One can prove: If  $T$  decreases slowly enough, then simulated annealing search will find a global optimum with probability approaching 1
- Widely used in VLSI layout, airline scheduling, etc.
- Adaptation of values for  $T$  is application driven.

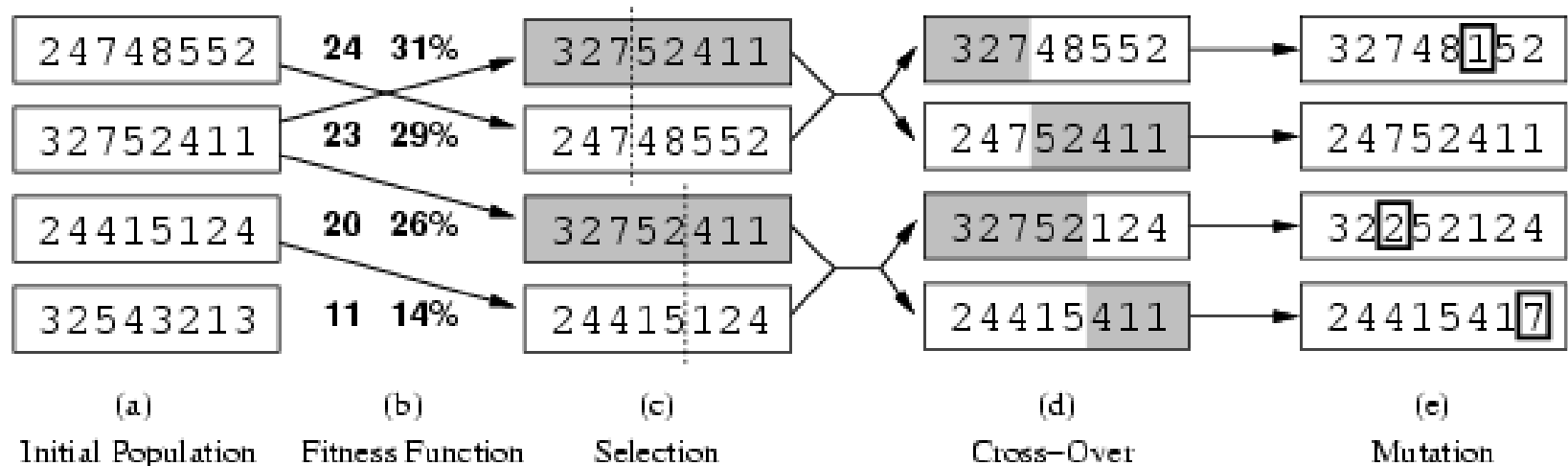
# Local beam search

- Keep track of  $k$  states rather than just one
- Start with  $k$  randomly generated states
- At each iteration, all the successors of all  $k$  states are generated
- If any one is a goal state, stop; else select the  $k$  best successors from the complete list and repeat.

# Genetic algorithms

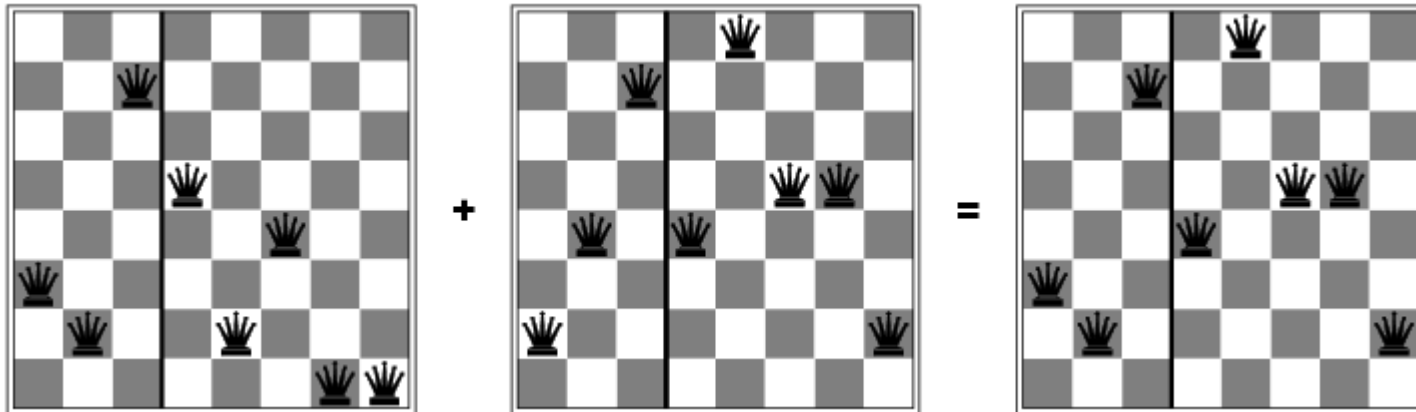
- A successor state is generated by combining two parent states
- Start with  $k$  randomly generated states (**population**)
- A state is represented as a string over a finite alphabet (often a string of 0s and 1s)
- Evaluation function (**fitness function**). Higher values for better states.
- Produce the next generation of states by selection, crossover, and mutation

# Genetic algorithms



- Fitness function: number of non-attacking pairs of queens (min = 0, max =  $8 \times 7/2 = 28$ )
- $24/(24+23+20+11) = 31\%$
- $23/(24+23+20+11) = 29\%$  etc

# Genetic algorithms





# Constraint Satisfaction Problems

- Constraint Satisfaction Problems (CSP)
- Backtracking search for CSPs
- Local search for CSPs

# Constraint satisfaction problems (CSPs)

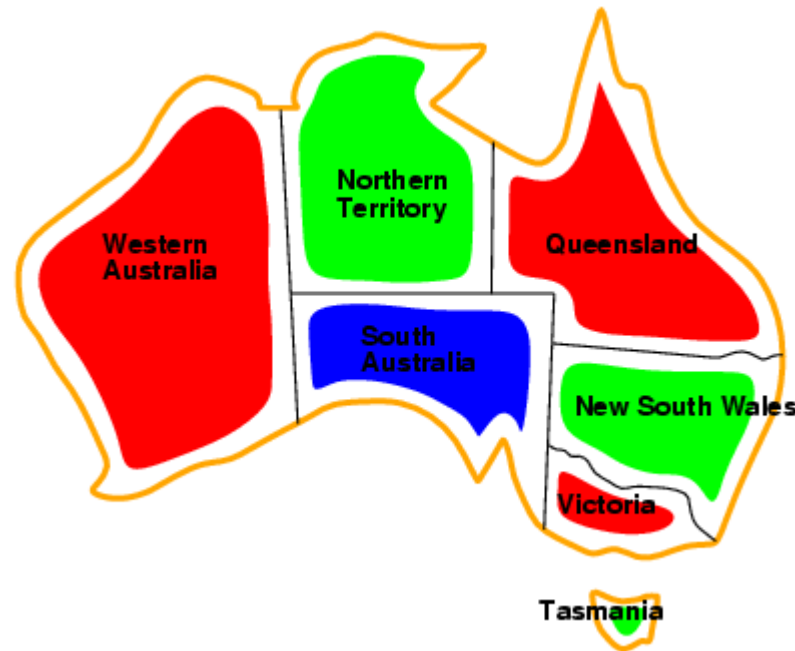
- Standard search problem:
  - **state** is a "black box" – any data structure that supports successor function, heuristic function, and goal test
- CSP:
  - **state** is defined by **variables**  $X_i$  with **values** from **domain**  $D_i$
  - **goal test** is a set of **constraints** specifying allowable combinations of values for subsets of variables
- Simple example of a **formal representation language**
- Allows useful **general-purpose** algorithms with more power than standard search algorithms

# Example: Map-Coloring



- **Variables**  $WA, NT, Q, NSW, V, SA, T$
- **Domains**  $D_i = \{\text{red, green, blue}\}$
- **Constraints:** adjacent regions must have different colors
- e.g.,  $WA \neq NT$ , or  $(WA, NT)$  in  $\{(\text{red, green}), (\text{red, blue}), (\text{green, red}), (\text{green, blue}), (\text{blue, red}), (\text{blue, green})\}$

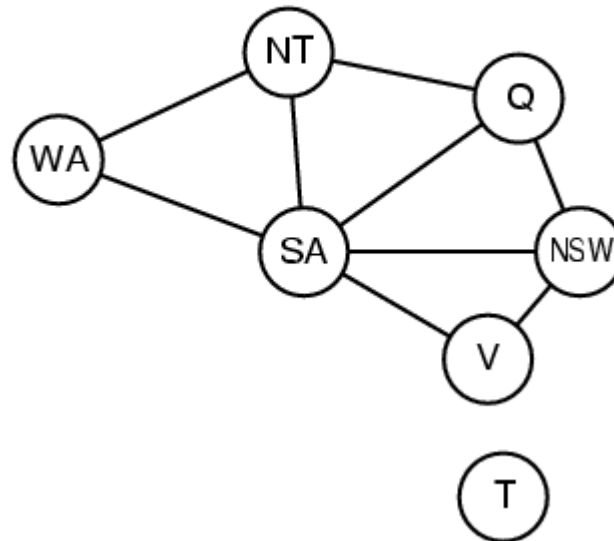
# Example: Map-Coloring



- Solutions are **complete** and **consistent** assignments, e.g., WA = red, NT = green, Q = red, NSW = green, V = red, SA = blue, T = green

# Constraint graph

- **Binary CSP:** each constraint relates two variables
- **Constraint graph:** nodes are variables, arcs are constraints



# Varieties of CSPs

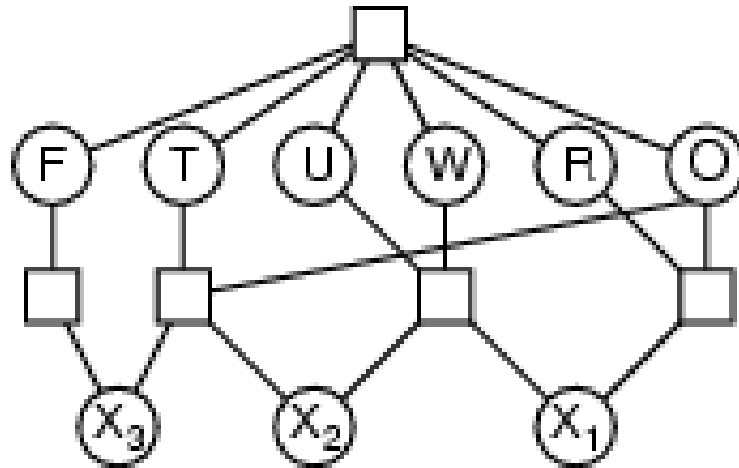
- Discrete variables
  - finite domains:
    - $n$  variables, domain size  $d \rightarrow O(d^n)$  complete assignments
    - e.g., Boolean CSPs, incl.  $\sim$ Boolean satisfiability (NP-complete)
  - infinite domains:
    - integers, strings, etc.
    - e.g., job scheduling, variables are start/end days for each job
    - need a constraint language, e.g.,  $StartJob_1 + 5 \leq StartJob_3$
- Continuous variables
  - e.g., start/end times for Hubble Space Telescope observations
  - linear constraints solvable in polynomial time by linear programming

# Varieties of constraints

- **Unary** constraints involve a single variable,
  - e.g.,  $SA \neq \text{green}$
- **Binary** constraints involve pairs of variables,
  - e.g.,  $SA \neq WA$
- **Higher-order** constraints involve 3 or more variables,
  - e.g., cryptarithmic column constraints

# Example: Cryptarithmic

$$\begin{array}{r} \text{T W O} \\ + \text{T W O} \\ \hline \text{F O U R} \end{array}$$



- **Variables:**  $F T U W$   
 $R O X_1 X_2 X_3$
- **Domains:**  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$
- **Constraints:** *Alldiff* ( $F, T, U, W, R, O$ )
  - $O + O = R + 10 \cdot X_1$
  - $X_1 + W + W = U + 10 \cdot X_2$
  - $X_2 + T + T = O + 10 \cdot X_3$
  - $X_3 = F, T \neq 0, F \neq 0$



# Real-world CSPs

- Assignment problems
  - e.g., who teaches what class
- Timetabling problems
  - e.g., which class is offered when and where?
- Transportation scheduling
- Factory scheduling
  
- Notice that many real-world problems involve real-valued variables
- Constraints may be preferred constraints rather than absolute

## Standard search formulation (incremental)

Let's start with the straightforward approach, then fix it

States are defined by the values assigned so far

- **Initial state**: the empty assignment  $\{ \}$
  - **Successor function**: assign a value to an unassigned variable that does not conflict with current assignment  
→ fail if no legal assignments
  - **Goal test**: the current assignment is complete
1. This is the same for all CSPs
  2. Every solution appears at depth  $n$  with  $n$  variables  
→ use depth-first search
  3. Path is irrelevant, so can also use complete-state formulation
  4.  $b = (n - \ell)d$  at depth  $\ell$ , hence  $n! \cdot d^n$  leaves
  5. But only  $d^n$  complete assignments!

# Backtracking search

- Variable assignments are **commutative**, i.e.,  
[ WA = red then NT = green ] same as [ NT = green then WA = red ]
- Only need to consider assignments to a single variable at each node  
→  $b = d$  and there are  $d^n$  leaves
- Depth-first search for CSPs with single-variable assignments is called **backtracking** search
- Backtracking search is the basic uninformed algorithm for CSPs
- Can solve  $n$ -queens for  $n \approx 25$

# Backtracking search

```
function BACKTRACKING-SEARCH(csp) returns a solution, or failure
  return RECURSIVE-BACKTRACKING({}, csp)

function RECURSIVE-BACKTRACKING(assignment, csp) returns a solution, or
failure
  if assignment is complete then return assignment
  var ← SELECT-UNASSIGNED-VARIABLE(Variables[csp], assignment, csp)
  for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
    if value is consistent with assignment according to Constraints[csp] then
      add { var = value } to assignment
      result ← RECURSIVE-BACKTRACKING(assignment, csp)
      if result ≠ failure then return result
      remove { var = value } from assignment
  return failure
```

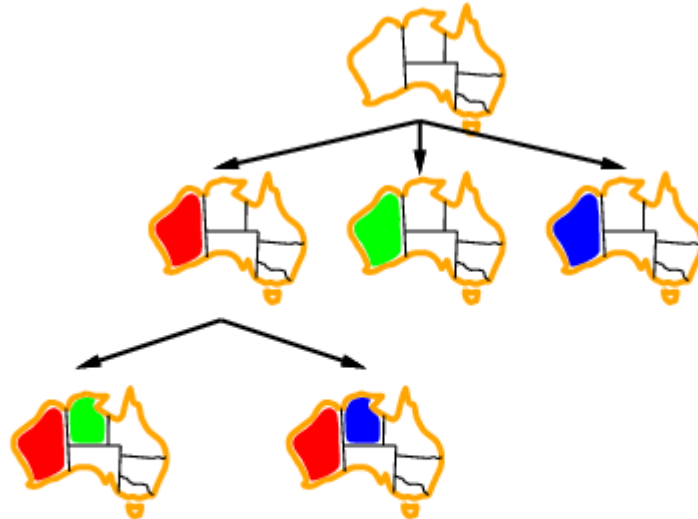
# Backtracking example



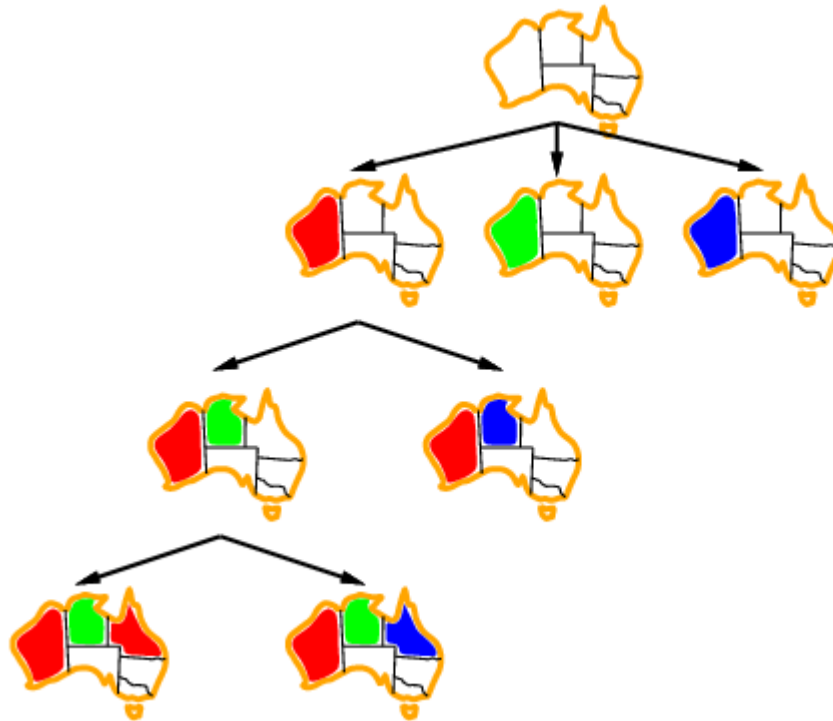
# Backtracking example



# Backtracking example



# Backtracking example



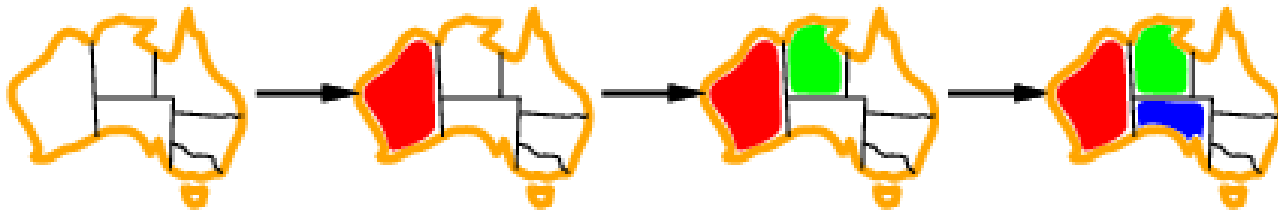


# Improving backtracking efficiency

- **General-purpose** methods can give huge gains in speed:
  - Which variable should be assigned next?
  - In what order should its values be tried?
  - Can we detect inevitable failure early?

# Most constrained variable

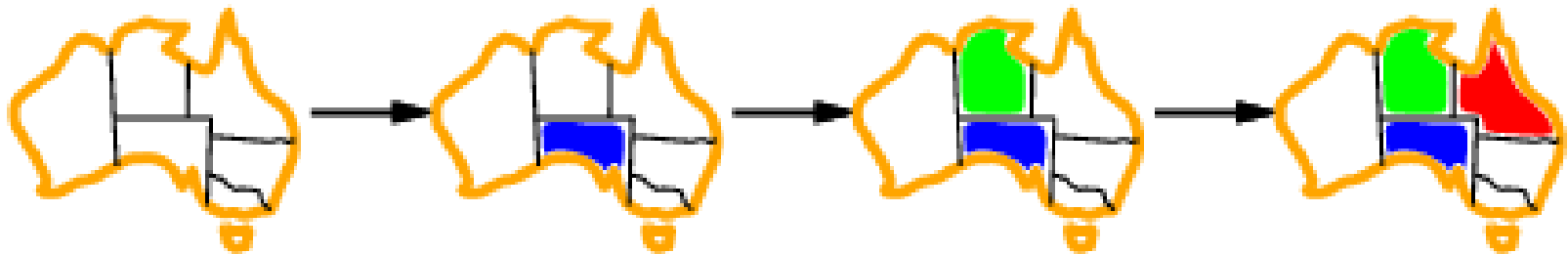
- Most constrained variable:  
choose the variable with the fewest legal values



- a.k.a. **minimum remaining values (MRV)**  
heuristic or **fail first**
- Magnitude of 3 to 3000 times faster than BT

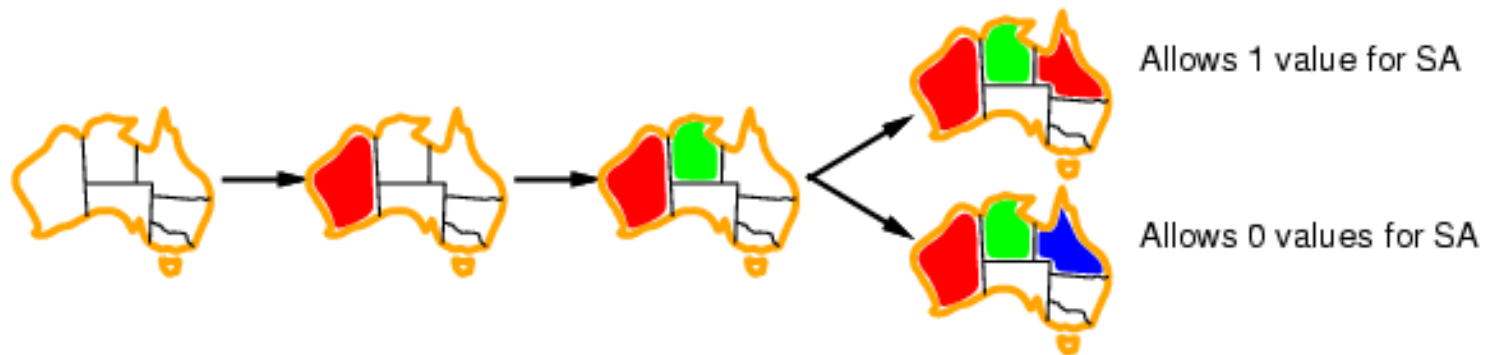
# Most constraining variable

- Tie-breaker among most constrained variables
- Most constraining variable:
  - choose the variable with the most constraints on remaining variables



# Least constraining value

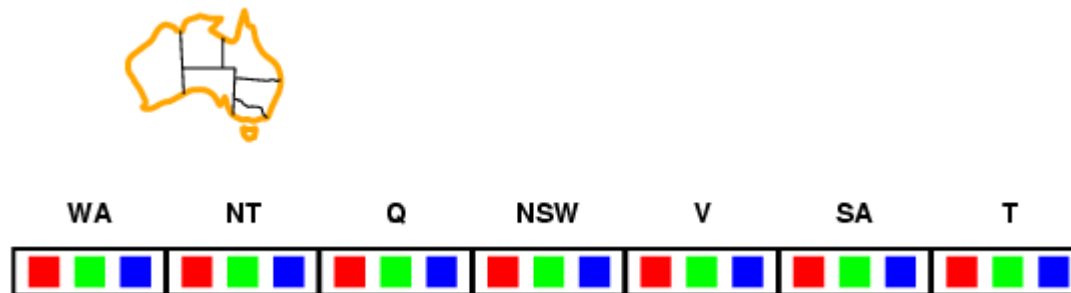
- Given a variable, choose the least constraining value:
  - the one that rules out the fewest values in the remaining variables



- Combining these heuristics makes 1000 queens feasible

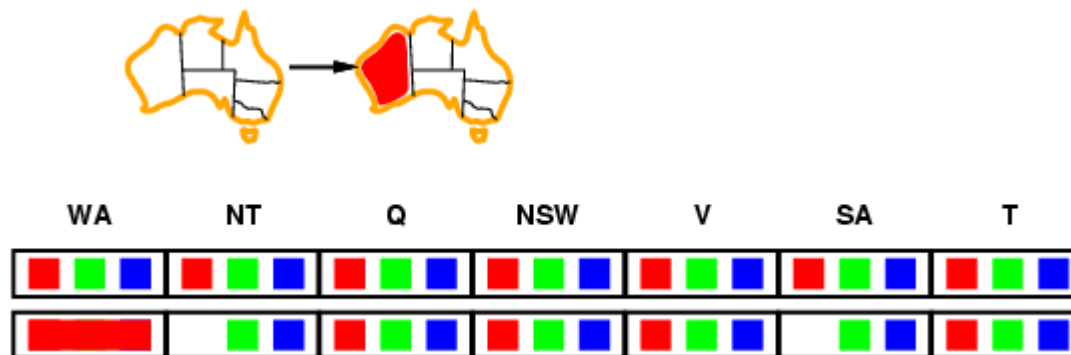
# Forward checking

- Idea:
  - Keep track of remaining legal values for unassigned variables
  - Terminate search when any variable has no legal values



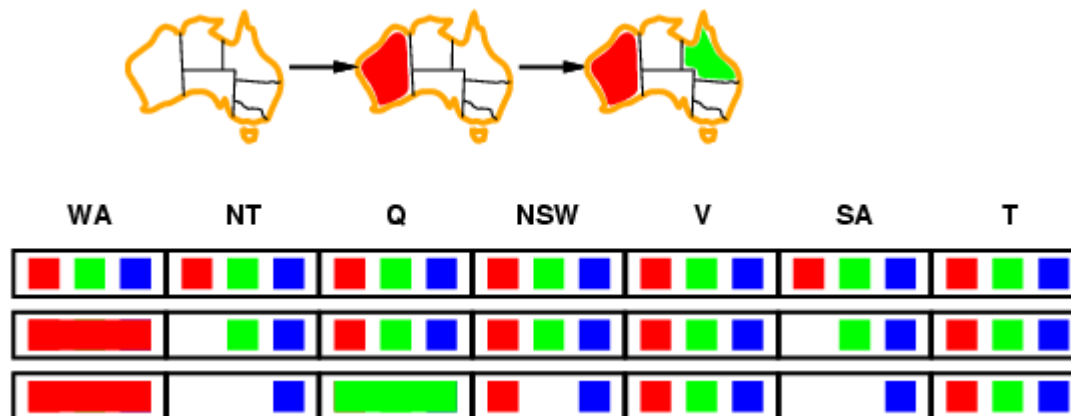
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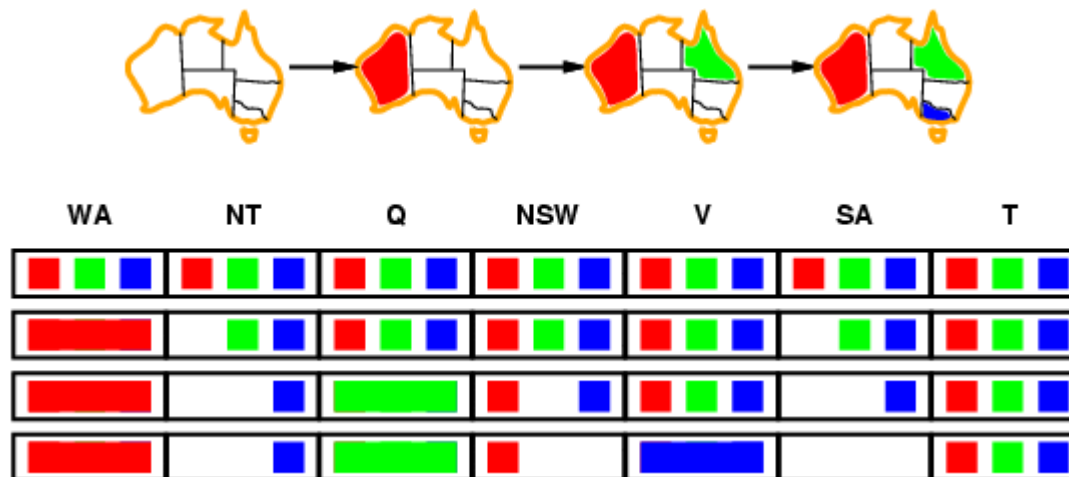
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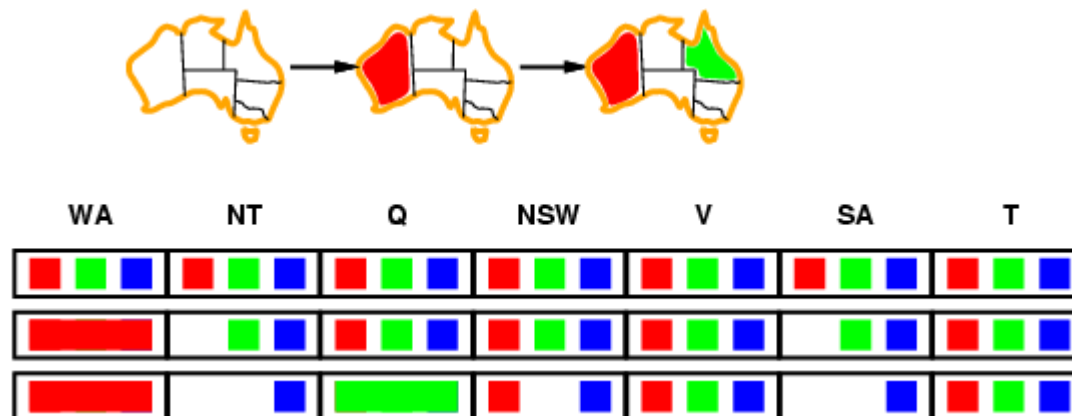
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# Constraint propagation

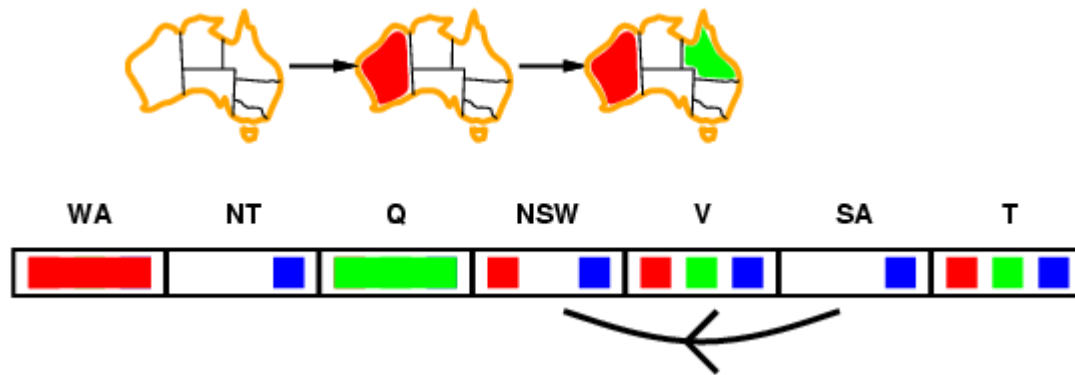
- Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:



- NT and SA cannot both be blue!
- Constraint propagation** repeatedly enforces constraints locally

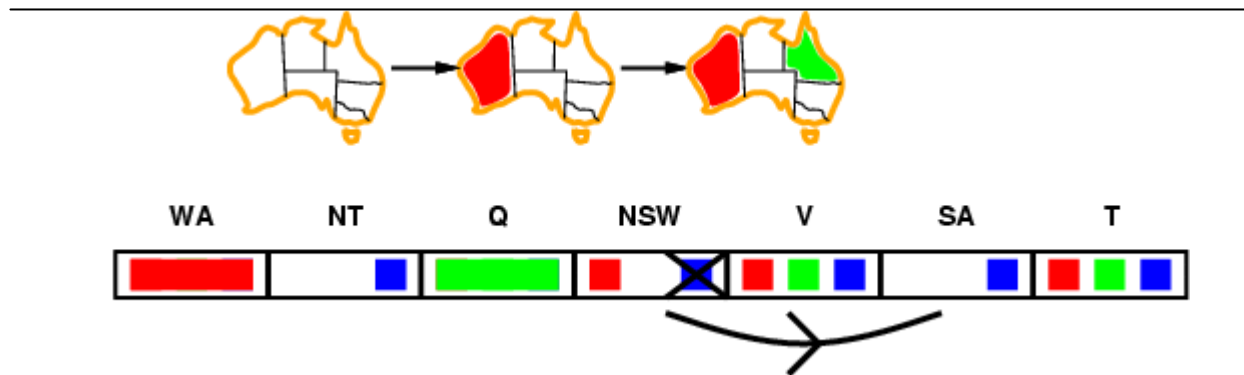
# Arc consistency

- Simplest form of propagation makes each arc **consistent**
- $X \rightarrow Y$  is consistent if  
for **every** value  $x$  of  $X$  there is **some** allowed  $y$



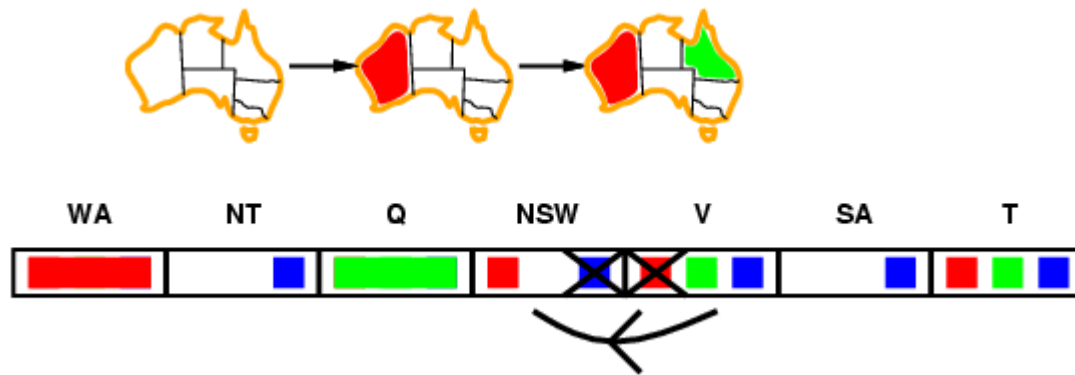
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# Arc consistency

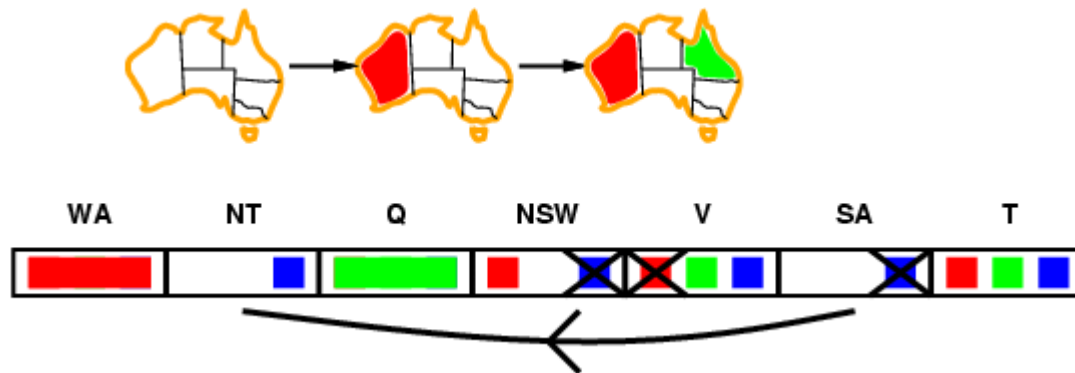
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- If  $X$  loses a value, neighbors of  $X$  need to be rechecked

# Arc consistency

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- If  $X$  loses a value, neighbors of  $X$  need to be rechecked
- Arc consistency detects failure earlier than forward checking
- Can be run as a preprocessor or after each assignment

# Arc consistency algorithm AC-3

```
function AC-3(csp) returns the CSP, possibly with reduced domains
  inputs: csp, a binary CSP with variables  $\{X_1, X_2, \dots, X_n\}$ 
  local variables: queue, a queue of arcs, initially all the arcs in csp

  while queue is not empty do
     $(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(\textit{queue})$ 
    if RM-INCONSISTENT-VALUES( $X_i, X_j$ ) then
      for each  $X_k$  in NEIGHBORS[ $X_i$ ] do
        add  $(X_k, X_i)$  to queue



---


function RM-INCONSISTENT-VALUES( $X_i, X_j$ ) returns true iff remove a value
  removed  $\leftarrow$  false
  for each  $x$  in DOMAIN[ $X_i$ ] do
    if no value  $y$  in DOMAIN[ $X_j$ ] allows  $(x, y)$  to satisfy constraint( $X_i, X_j$ )
      then delete  $x$  from DOMAIN[ $X_i$ ]; removed  $\leftarrow$  true
  return removed
```

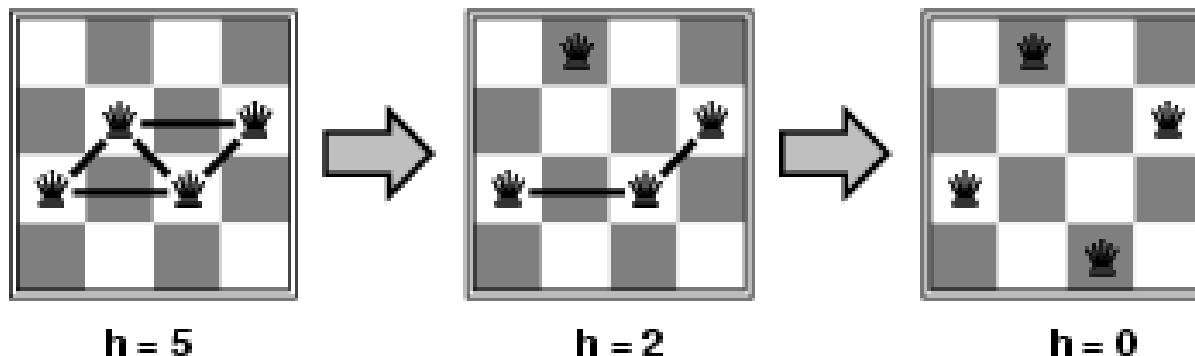
- Time complexity:  $O(n^2d^3)$

# Local search for CSPs

- Hill-climbing, simulated annealing typically work with "complete" states, i.e., all variables assigned
- To apply to CSPs:
  - allow states with unsatisfied constraints
  - operators **reassign** variable values
- Variable selection: randomly select any conflicted variable
- Value selection by **min-conflicts** heuristic:
  - choose value that violates the fewest constraints
  - i.e., hill-climb with  $h(n)$  = total number of violated constraints

# Example: 4-Queens

- **States:** 4 queens in 4 columns ( $4^4 = 256$  states)
- **Actions:** move queen in column
- **Goal test:** no attacks
- **Evaluation:**  $h(n) =$  number of attacks



- Given random initial state, can solve  $n$ -queens in almost constant time for arbitrary  $n$  with high probability (e.g.,  $n = 10,000,000$ )



# Summary

- CSPs are a special kind of problem:
  - states defined by values of a fixed set of variables
  - goal test defined by constraints on variable values
- Backtracking = depth-first search with one variable assigned per node
- Variable ordering and value selection heuristics help significantly
- Forward checking prevents assignments that guarantee later failure
- Constraint propagation (e.g., arc consistency) does additional work to constrain values and detect inconsistencies
- Iterative min-conflicts is usually effective in practice

# Literatur, Links

- Stuart Russell und Peter Norvig:  
Künstliche Intelligenz, ein moderner  
Ansatz, Prentice Hall (2004), München,  
ISBN 3-8273-7089-2
- (daraus auch wesentliche Teile der heutigen Vorlesung)
- <http://www.cs.rmit.edu.au/AI-Search/Product/>
- <http://aima.cs.berkeley.edu/newchap05.pdf>

## Wissenschaftliches Experiment zu (il)legalem P2P

- Testpersonen gesucht: Untersuchung einer Idee für eine legale Online-Musiktauschbörse
- Es gibt etwas zu essen und zu trinken! :-)
- Gruppen zu je ca. 25 Personen können nach einer Einweisung auf einer Beispiel-Website beliebig Songs herunterladen und tauschen
- Kleiner Fragebogen vor und nach dem Experiment
- Termin: Mittwoch, **7.12.2005**, fünf Termine von 10.00 bis 17.00 Uhr, Dauer ca. 1 Stunde

Anmeldung: [www.intermedia.lmu.de/experiment](http://www.intermedia.lmu.de/experiment)